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24972 7590 08/24/2004

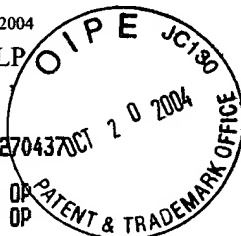
FULBRIGHT & JAWORSKI, LLP
666 FIFTH AVE
NEW YORK, NY 10103-3198

11/09/2004 MAHME2 00000049 500624

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Fani Malikouzakis

(Depositor's name)

Fani Malikouzakis

(Signature)

October 19, 2004

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/270,437	03/16/1999	YAO-TSENG CHEN	LUD5538.1CIP	2508

TITLE OF INVENTION: ISOLATED NUCLEIC ACID MOLECULE ENCODING CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER SE, AND USES THEREOF

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	11/24/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
CANELLA, KAREN A	1642	435-320100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **Fulbright & Jaworski LLP**

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

- 1) **Ludwig Institute for Cancer Research**
- 2) **Memorial Sloan-Kettering Cancer Center**
- 3) **Cornell Research Foundation, Inc.**

- 1) **New York, NY**
- 2) **New York, NY**
- 3) **Ithaca, NY**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
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- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 500624 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Norman Hanson

Date

October 19, 2004

Typed or printed name

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Registration No.

30,946

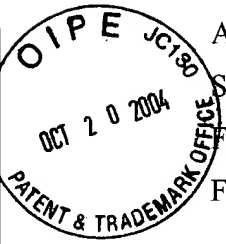
This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10-20-04

LUD 5538.1 DIV (09807339)

IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE



Applicant(s): Yao-Tseng CHEN et al.
Serial Number: 09/270,437
Filed: March 16, 1999
For: ISOLATED NUCLEIC ACID MOLECULE ENCODING
CANCER ASSOCIATED ANTIGENS, THE ANTIGENS
PER SE, AND USES THEREOF
Art Unit: 1642 Examiner: Karen A. CANELLA
Class-Subclass: 435-320100 Confirmation No. 2508

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TRANSMITTAL LETTER

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Respectfully yours,

Norman D. Hanson
Reg. No. 30,946

Duplicate copy enclosed
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New York, NY 10103
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